



# KLJ Plastics Limited

Regd. Office: H. No. 3-5-1089/12, Opp. YMCA, Narayanguda, Hyderabad- 500029

E-mail: kljplastic@yahoo.com Tel: 011-25459706

Website: [www.kljplastics.in](http://www.kljplastics.in), CIN: L25209TG1978PLC002334

Date: 27.05.2022

To,

The Secretary,  
The Calcutta Stock Exchange Ltd,  
7, Lyons Range, Dalhousie  
Kolkata – 700 001, West Bengal

CSE SECURITY CODE: 021060

Sub: Annual Secretarial Compliance Report under Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

Please find enclosed herewith Annual Secretarial Compliance Report for the year ended on 31<sup>st</sup> March, 2022, in compliance with Regulation 24A of SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015.

Kindly take the same on record and oblige.

Thanking you,

Yours Sincerely,

For KLJ PLASTICS LIMITED

  
(Chhavi Sharma)  
Company Secretary  
Membership No.: A67433



Encl: As Stated

**SECRETARIAL COMPLIANCE REPORT**  
**OF**  
**KLJ PLASTICS LIMITED**  
**FOR THE FINANCIAL YEAR ENDED 31<sup>st</sup> March, 2022**

To  
**The Board of Directors**  
KLJ Plastics Limited  
H. No. 3-5-1089/12, Opp. YMCA  
Narayanguda  
Hyderabad- 500029

I, **Deepti Chawla**, Proprietor of **Deepti Chawla & Associates, Company Secretaries** have examined;

- (a) all the documents and records made available to us and explanation provided by **KLJ Plastics Limited** ("the listed entity"). The equity shares of KLJ Plastics Limited are listed on **Calcutta Stock Exchange Limited** wherein the trading platform is presently non functional.
- (b) the filings/ submissions made by the listed entity to the stock exchanges- The company had been suspended by the stock exchange. An application for revocation of suspension was filed by the Company dated 3<sup>rd</sup> February, 2022. The suspension of the Company has been revoked by the Stock Exchange vide its letter dated CSE/LD/15487/2022 dated 23<sup>rd</sup> March, 2022.
- (c) website of the listed entity for the year ended March 31, 2022 ("Review Period") in respect of compliance with the provisions of:
- (i) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- (ii) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **Not applicable during the reporting period;**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **Not applicable during the review period;**
- (d) Securities and Exchange Board of India (Buy back of Securities) Regulations, 2018- **Not applicable during the review period;**





- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014- **Not applicable during the review period;**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008- **Not applicable during the review period;**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013- **Not applicable during the review period;**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- and based on the above examination, I hereby report that, during the Review Period:
- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under except in respect of the matters specified below:

Sr. No.	Compliance Requirement(Regulations/ circulars/guidelines including specific clause	Deviations	Observations/Remarks of the Practising Company Secretary
1.	Regulation 23(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	The Company has not submitted the disclosures of related party transaction with the stock exchange for the Half Year ended 30 <sup>th</sup> September 2021.	The company was in suspended status during the said period.
2.	Regulation 13(3), 31 and 33 (3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	The Company has not submitted quarterly reports as per the regulations to the Stock exchange within the prescribed time.	The company was in suspended status in stock exchange and all quarterly compliances have been submitted along with application for revocation of suspension
3.	Regulation 14 and 34 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	The Company has not made compliances as per the regulations to the Stock exchange within the prescribed time.	All outstanding dues along with listing fee has been paid and documents have been submitted along with the application for revocation of suspension.
4.	Regulation 30 and 42 (2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	The Company has not intimated to the Stock exchange as per the regulations within the prescribed time.	Intimation has been sent to the Stock exchange along with the application for revocation of suspension.





5.	Regulation 46 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	The Company has not made all the disclosures on the website of the Company as stipulated under the said regulations.	The Company is in the process of updating its website in compliance with the regulations after revocation of suspension by the Calcutta Stock Exchange
6.	Regulation 44 (1) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	The Company has not provided remote e-voting facility to its shareholders, in respect of all shareholders' resolutions.	Post Revocation of Suspension, Company shall initiate the process of entering into Tripartite Agreement with NSDL CDSL & RTA and shall provide e-voting facility to shareholders.

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from my examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if an
1.	Calcutta Stock Exchange Limited	Non Compliance of various regulations under SEBI(LODR)	Suspended	Application for revocation was made by the Company on 3 <sup>rd</sup> February 2022. The suspension was revoked by the stock exchange vide its letter dated CSE/LD/15487/2022 dated 23 <sup>rd</sup> March, 2022.

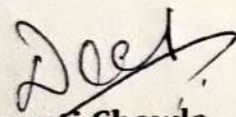




(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NOT APPLICABLE DURING THE REVIEW PERIOD				

**For Deepti Chawla & Associates  
Company Secretaries**

  
**Deepti Chawla**  
Proprietor  
FCS No.: 11445  
C P No.: 8759



Place: New Delhi  
Date: 27th May 2022

UDIN: F011445D000401551